

DUFT, GRAZIANO & FOREST, P.C.

1790 - 30TH STREET, SUITE 140

BOULDER, CO 80301-1018

(303) 449-9497

FACSIMILE (303) 449-0814

James M. Graziano

Carl A. Forest

Mark A. Guetlich

Dan Cleveland, Jr.

William P. Wilbar

Michael J. Setter

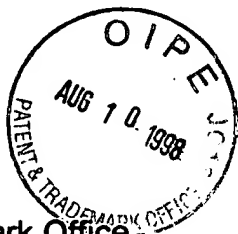
Thomas Swenson

Robert M. Meeks

Of Counsel

Donald M. Duft, P.C.

June 26, 1998



OFFICE

'98 JUL 14 P4:58

Mr. Jeffrey Caplan
Refund Department
U.S. Patent and Trademark Office
Box 17
Washington, DC 20231

Re: Deposit Account Charges Discrepancies
Duft, Graziano & Forest, P.C. Account No. 041697

Dear Mr. Caplan:

Attached to this letter please find a copy of the Monthly Statement of Deposit Account for Duft, Graziano & Forest, P.C. dated March 31, 1998. We request credit for the following Control Nos.

<u>Monthly Statement Date</u>	<u>\$Charge</u>	<u>Description</u>	<u>Fee Code</u>	<u>DG&F Ref #</u>	<u>DG&F Check #</u>
3/31/98 Control #15	\$395.00	09/024,278	101	6099/008	4946

We request credit for **\$395.00**. We have enclosed a copy of canceled Check #4946 in the amount of \$395.00, copy of Patent application transmittal letter completed for small entity, and our most recently submitted, July 8, 1998, signed Verified Statement Claiming Small Entity Status.

<u>Monthly Statement Date</u>	<u>\$Charge</u>	<u>Description</u>	<u>Fee Code</u>	<u>DG&F Ref #</u>	<u>DG&F Check</u>
3/31/98 Control #581	\$40.00	09/038,085	581	5029/003	4992

We request credit for **\$30.00**. We have enclosed a copy of canceled Check #4992 in the amount of \$942.00, copy of Patent application transmittal letter completed for small entity with \$40.00 assignment recordation fee included in the total. The rate fee should be \$395.00; therefore we have only requested a \$30.00 credit.

Completed

Duft, Graziano & Forest, P.C.

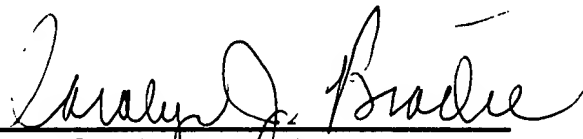
July 9, 1998

Page 2

We request total credit to our Account No. 041697 for the March 31, 1998, Statement in the amount of \$425.00.

Your prompt attention to this request will be greatly appreciated. Should you have any questions, please feel free to contact me at 303-449-9497 extension 25.

Sincerely,

By 
Carolyn J. Brodie

Cjb

Enclosures

Copy of 3/31/98 Statement of Account for #041697

Serial No.: 09/024,278 DG&F Docket No. 6099/008:

Copy of canceled Check No. 4946 in the amount of \$395.00

Copy of Patent application transmittal letter

Copy of signed Verified Statement Claiming Small Entity Status submitted 7/8/98

Serial No.: 09/038,085 DG&F Docket No. 5029/003:

Copy of canceled Check No. 4992 in the amount of \$942.00

Copy of Patent Application Transmittal Letter

RECEIVED

APR 17 1998

DUFT GRAZIANO &
FOREST, P.C.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

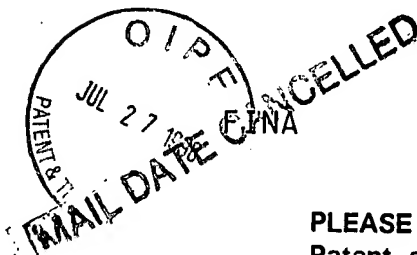
**MONTHLY STATEMENT
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.

DUFT GRAZIANO & FOREST P C
JIM GRAZIBNO
1790 30TH STREET SUITE 140

BOULDER CO 80301

Account No.	041697
Date	3-31-98
Page	1



PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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3	5	98	15	09024278	6099/008	101	395.00	4178.00
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N AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					4550.00	542.00	570.00	4578.00

COPY

555 Edwards Crawley - 956

United States Department of Commerce
(Commissioner of Patents and Trademarks)

DEPOSIT ACCOUNT 02-2865
Statement of Account dated June 30, 1998

<u>Account Description</u>	<u>Amount \$</u>	<u>Location</u>
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" " " " "	1,070.00	2700.00 PDØ1967
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" " " " "	6,240.00	PDØ1914
" " " " "	5,770.00	PDØ1916
" " " " "	1,154.00	PDØ1919
" " " " "	790.00	15,984.00 PDØ1940
" " " " "	45.00	45.00 PDØ1886
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		23,489.00
Minus		
50 8010 5707 593 152		(135.00) PDØ1886
Pay this Amount:		<u>23,354.00</u>

(*) Datex Ohmeda-Instrumentarium Charge

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Michael J. Thwaites

Serial No.: 09/964,087

Art Unit: 1109

Filed: 11/4/97

Examiner: Not Assigned

For: Sputtering Processes and Apparatus

Docket No.: 96B157

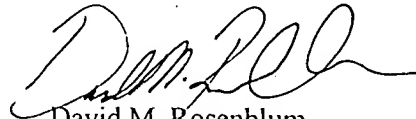
Commissioner of Patents and Trademarks
Washington, D.C. 20231

ATTENTION: Manager, Applications Branch

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts of Application mailed 3/23/98 (copy enclosed), Applicant submits the enclosed Declaration executed by him on May 11th, 1998.. The Commissioner is hereby authorized to charge to Deposit Account 02-2865, the \$130.00 fee required under 37 CFR 1.16(e). The Commissioner is requested to grant any necessary extension of time and is authorized by this response to charge any additional fees, if required, to our Deposit Account No. 02-2865. This request is submitted in triplicate. *EHV-CRAWLEY*

Respectfully submitted,



David M. Rosenblum
Registration No. 29,341
Attorney for Applicant(s)

The BOC Group, Inc.
100 Mountain Avenue
Murray Hill
New Providence, NJ 07974
Tel. (908) 771-6167

Dated: 6/15/98

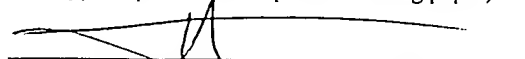
CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Date: 6-17-98

Carole Balbach

(Type or print name of person mailing paper)



(Signature of person mailing paper)

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael J. Thwaites

Additional name(s) of conveying party(ies) attached? ☐ Yes
☒ No

2. Name and address of receiving party(ies):

Name: The BOC Group plc

Internal Address:

Street Address:

Chertsey Road, Windlesham

Surrey GU20 6HJ England

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: May 11th 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

USSN 08/964,087 filed 11/4/97 Docket No. 96B157

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David M. Rosenblum

Internal Address:

Patent, Trademark & Licensing Department

Street Address:

100 Mountain Avenue

Murray Hill

City: New Providence State: NJ ZIP: 07974

6. Total number of applications and patents involved: 1

EHV CRAWLEY

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

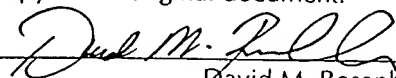
8. Deposit account number:

02-2865

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David M. Rosenblum
Registration No. 29,341

6/15/98

Date

Total number of pages comprising cover sheet: 1

COPY

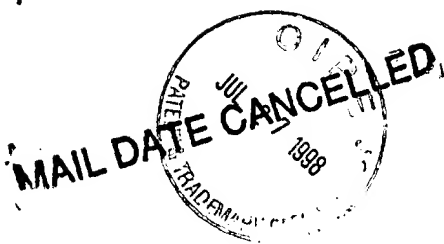
555 BOC PROCESS PLANTS UK - 967

United States Department of Commerce
(Commissioner of Patents and Trademarks)

DEPOSIT ACCOUNT 02-2865
Statement of Account dated June 30, 1998

<u>Account Description</u>	<u>Amount \$</u>		<u>Location</u>
50 0454 0555 000 000	1,460.00		PDØ1555
" " " " "	170.00		PDØ1956
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		23,489.00	
Minus			
50 8010 5707 593 152		(135.00)	PDØ1886
Pay this Amount:		<u>23,354.00</u>	

(*) Datex Ohmeda-Instrumentarium Charge



Dep + Ref
Rm 8110a

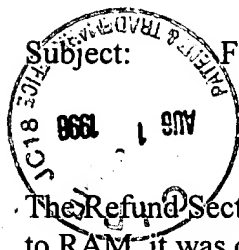
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

August 7, 1998

MEMORANDUM FOR: One Stop Team, 03D0

From: Frank Lebron
Project Manager
Refund Division

Subject: FORWARDING REFUND LETTERS



The Refund Section is forwarding refund request letter(s) for your immediate review. Referring to RAM, it was determined that these applications are located in your area as of 8/7/98. If you have any questions, please contact the *Refund Department* in the Office of Finance at 305-4229.

Thank you.

Case Number

09/024278



<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin: 5px 0;">Note: Effective October 1, 1997. Patent fees are subject to annual revision.</p>	<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td></td></tr> <tr><td>Filing Date</td><td>May. 29, 1998</td></tr> <tr><td>First Named Inventor</td><td>Paul Higginbotham</td></tr> <tr><td>Group Art Unit</td><td></td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Attorney Docket Number</td><td>97B124</td></tr> </table>	Application Number		Filing Date	May. 29, 1998	First Named Inventor	Paul Higginbotham	Group Art Unit		Examiner Name		Attorney Docket Number	97B124
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Filing Date	May. 29, 1998												
First Named Inventor	Paul Higginbotham												
Group Art Unit													
Examiner Name													
Attorney Docket Number	97B124												
TOTAL AMOUNT OF PAYMENT (\$)	790												

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <u>02-2865</u></p> <p>Deposit Account Name <u>The BOC Group, Inc. - Patent Department</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. FILING FEE UK PROCESS PLANTS</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 790</td><td>201 395</td><td>Utility filing fee</td><td>790</td></tr> <tr><td>106 330</td><td>206 165</td><td>Design filing fee</td><td></td></tr> <tr><td>107 540</td><td>207 270</td><td>Plant filing fee</td><td></td></tr> <tr><td>108 790</td><td>208 395</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="3" style="text-align: right;">SUBTOTAL (1)</td><td>(\$ 790)</td></tr> </tbody> </table> <p>2. CLAIMS</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>16</td> <td>-20 = 0</td> <td>X</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>2 - 3 = 0</td> <td>X</td> <td></td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td>X</td> <td></td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 22</td><td>203 11</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 82</td><td>202 41</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104 270</td><td>204 135</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>109 82</td><td>209 41</td><td>Reissue independent claims over original patent</td><td></td></tr> <tr><td>110 22</td><td>210 11</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="3" style="text-align: right;">SUBTOTAL (2)</td><td>(\$ 0)</td></tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 790	201 395	Utility filing fee	790	106 330	206 165	Design filing fee		107 540	207 270	Plant filing fee		108 790	208 395	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1)			(\$ 790)	Total Claims	Extra	Fee from below	Fee Paid	16	-20 = 0	X		Independent Claims	2 - 3 = 0	X		Multiple Dependent Claims		X		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 22	203 11	Claims in excess of 20		102 82	202 41	Independent claims in excess of 3		104 270	204 135	Multiple dependent claim		109 82	209 41	Reissue independent claims over original patent		110 22	210 11	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			(\$ 0)	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>0</td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>0</td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>0</td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td>0</td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0</td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0</td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>0</td></tr> <tr><td>116 400</td><td>216 200</td><td>Extension for reply within second month</td><td>0</td></tr> <tr><td>117 950</td><td>217 475</td><td>Extension for reply within third month</td><td>0</td></tr> <tr><td>118 1,510</td><td>218 755</td><td>Extension for reply within fourth month</td><td>0</td></tr> <tr><td>128 2,060</td><td>228 1,030</td><td>Extension for reply within fifth month</td><td>0</td></tr> <tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td>0</td></tr> <tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td>0</td></tr> <tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td>0</td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>0</td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td>0</td></tr> <tr><td>141 1,320</td><td>241 660</td><td>Petition to revive - unintentional</td><td>0</td></tr> <tr><td>142 1,320</td><td>242 660</td><td>Utility issue fee (or reissue)</td><td>0</td></tr> <tr><td>143 450</td><td>243 225</td><td>Design issue fee</td><td>0</td></tr> <tr><td>144 670</td><td>244 335</td><td>Plant issue fee</td><td>0</td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td>0</td></tr> <tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td>0</td></tr> <tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td>0</td></tr> <tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>0</td></tr> <tr><td>146 790</td><td>246 395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>0</td></tr> <tr><td>149 790</td><td>249 395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>0</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0</td></tr> <tr><td colspan="3" style="text-align: right;">SUBTOTAL (3)</td><td>(\$ 0)</td></tr> </tbody> </table> <p>* Reduced by Basic Filing Fee Paid</p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	0	127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0	139 130	139 130	Non-English specification	0	147 2,520	147 2,520	For filing a request for reexamination	0	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0	115 110	215 55	Extension for reply within first month	0	116 400	216 200	Extension for reply within second month	0	117 950	217 475	Extension for reply within third month	0	118 1,510	218 755	Extension for reply within fourth month	0	128 2,060	228 1,030	Extension for reply within fifth month	0	119 310	219 155	Notice of Appeal	0	120 310	220 155	Filing a brief in support of an appeal	0	121 270	221 135	Request for oral hearing	0	138 1,510	138 1,510	Petition to institute a public use proceeding	0	140 110	240 55	Petition to revive - unavoidable	0	141 1,320	241 660	Petition to revive - unintentional	0	142 1,320	242 660	Utility issue fee (or reissue)	0	143 450	243 225	Design issue fee	0	144 670	244 335	Plant issue fee	0	122 130	122 130	Petitions to the Commissioner	0	123 50	123 50	Petitions related to provisional applications	0	126 240	126 240	Submission of Information Disclosure Stmt	0	581 40	581 40	Recording each patent assignment per property (times number of properties)	0	146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	0	149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	0	Other fee (specify) _____			0	Other fee (specify) _____			0	SUBTOTAL (3)			(\$ 0)
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105 130	205 65	Surcharge - late filing fee or oath	0																																																																																																																																																																																														
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0																																																																																																																																																																																														
139 130	139 130	Non-English specification	0																																																																																																																																																																																														
147 2,520	147 2,520	For filing a request for reexamination	0																																																																																																																																																																																														
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0																																																																																																																																																																																														
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0																																																																																																																																																																																														
115 110	215 55	Extension for reply within first month	0																																																																																																																																																																																														
116 400	216 200	Extension for reply within second month	0																																																																																																																																																																																														
117 950	217 475	Extension for reply within third month	0																																																																																																																																																																																														
118 1,510	218 755	Extension for reply within fourth month	0																																																																																																																																																																																														
128 2,060	228 1,030	Extension for reply within fifth month	0																																																																																																																																																																																														
119 310	219 155	Notice of Appeal	0																																																																																																																																																																																														
120 310	220 155	Filing a brief in support of an appeal	0																																																																																																																																																																																														
121 270	221 135	Request for oral hearing	0																																																																																																																																																																																														
138 1,510	138 1,510	Petition to institute a public use proceeding	0																																																																																																																																																																																														
140 110	240 55	Petition to revive - unavoidable	0																																																																																																																																																																																														
141 1,320	241 660	Petition to revive - unintentional	0																																																																																																																																																																																														
142 1,320	242 660	Utility issue fee (or reissue)	0																																																																																																																																																																																														
143 450	243 225	Design issue fee	0																																																																																																																																																																																														
144 670	244 335	Plant issue fee	0																																																																																																																																																																																														
122 130	122 130	Petitions to the Commissioner	0																																																																																																																																																																																														
123 50	123 50	Petitions related to provisional applications	0																																																																																																																																																																																														
126 240	126 240	Submission of Information Disclosure Stmt	0																																																																																																																																																																																														
581 40	581 40	Recording each patent assignment per property (times number of properties)	0																																																																																																																																																																																														
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	0																																																																																																																																																																																														
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	0																																																																																																																																																																																														
Other fee (specify) _____			0																																																																																																																																																																																														
Other fee (specify) _____			0																																																																																																																																																																																														
SUBTOTAL (3)			(\$ 0)																																																																																																																																																																																														

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	<i>David M. Rosenblum</i>	Reg. Number	29341
Signature	David M. Rosenblum	Date	5/29
		Deposit Account User ID	02-2865

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Higginbotham, Paul; Oakey, John D.
Serial No.: 08/932,456 Group No.: 3744
Filed: September 18, 1997 Examiner: Capossela, R.
For: Air Separation

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: GROUP DIRECTOR

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION OR NOTICE OF ALLOWANCE
BUT BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. 1.97(d))

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

VK Process Plants

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 6-14-98

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Carrie Bracken
(type or print name of person certifying)

STATEMENT, PETITION AND FEE

2. In accordance with the requirements of 37 C.F.R. 1.97(d):

- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e).
- B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. 1.97(d)(2).
- C. Applicant submits the petition fee set forth in § 1.17(i) (\$240.00).

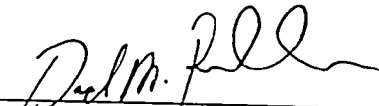
UK Process Plants
FEE DUE

3. Petition fee due (§ 1.17(i)): \$240.00

METHOD OF PAYMENT OF FEE

4. Charge Account 02-2865 \$240.00. A duplicate of this petition is attached.

If any additional petition fees are due, please charge Account 02-2865.



SIGNATURE OF PRACTITIONER

Reg. No. 29341

Tel. No.: (908) 771-6167

David M. Rosenblum

100 Mountain Avenue

Customer No.: 20411

New Providence, NJ 07974

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas Rathbone

Additional name(s) of conveying party(ies) attached? ☐ Yes
☒ No

2. Name and address of receiving party(ies):

Name: The BOC Group plc

Internal Address: _____

Street Address: _____

Chertsey Road, Windlesham

Surrey GU20 6HJ England

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: May 5, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

USSN 09/040,235 filed 3/16/98 - Docket No. 97B106

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David M. Rosenblum

Internal Address: _____

Patent, Trademark & Licensing Department

Street Address: _____

100 Mountain Avenue

Murray Hill

City: New Providence State: NJ ZIP: 07974

6. Total number of applications and patents involved: 1

UK PROCESS PLANTS

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

02-2865

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


David M. Rosenblum

Registration No. 29,341

5/14/98
Date

Total number of pages comprising cover sheet: 1

COPY

DATEX INSTRUMENTARIUM

United States Department of Commerce
(Commissioner of Patents and Trademarks)

DEPOSIT ACCOUNT 02-2865
Statement of Account dated June 30, 1998

<u>Account Description</u>	<u>Amount \$</u>	<u>Location</u>
50 0454 0555 000 000	1,460.00	PDØ1555
" " " " "	170.00	PDØ1956
" " " " "	1,070.00	2700.00 PDØ1967
50 8010 5707 593 152	2,030.00	PDØ1905
" " " " "	6,240.00	PDØ1914
" " " " "	5,770.00	PDØ1916
" " " " "	1,154.00	PDØ1919
" " " " "	790.00	15,984.00 PDØ1940
" " " " "	45.00	45.00 PDØ1886
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		23,489.00
Minus		
50 8010 5707 593 152		(135.00) PDØ1886
Pay this Amount:		<u>23,354.00</u>

(*) Datex Ohmeda-Instrumentarium Charge

Express Mailing Label Number EH 541 779 054
Mailed May 26, 1998

Attorney Docket No. 97A420-1

PATENT
Page 1 of 2

BOX: PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON DC 20231

CONTINUATION-IN-PART APPLICATION TRANSMITTAL

Transmitted herewith for filing is a Continuation-in-Part patent application of:
S/N 08/857,924, filed May 16, 1997

Inventor(s): Duncan P. L. BATHE and Frederick J. MONTGOMERY

Title: ACCURATE DOSE NITRIC OXIDE PULSE DELIVERY DEVICE WITH
MONITORING AND ALARMS

I. Papers Enclosed Which are Required for Filing Date Under 37 CFR 1.53(b):

15	Pages of Specification	2	Pages of Drawings
7	Pages of Claims	<input type="checkbox"/>	Formal
1	Page of Abstract	<input checked="" type="checkbox"/>	Informal

2. Additional Papers Enclosed:

☒ Declaration/Power of Attorney, duly executed
☐ Other

3. FEE CALCULATION for Continuation-in-Part Application:

	(Col. 1)	(Col. 2)	Other than a Small Entity	
For:	No. Filed	No. Extra	Rate	Fee
BASIC FEE			790.00	790.00
TOTAL CLAIMS	22 - 20	2	22.00	44.00
INDEPENDENT CLAIMS	5 - 3	2	82.00	164.00
MULT. DEP. CLAIM PRESENTED		0	270.00	0.00
Please charge our Deposit Account No. 02-2865 in the amount of				998.00

DATEX-04M

EXPRESS MAIL LABEL NO. EH 541 779 068
Mailed May 26, 1998

Docket No. 98A280

PATENT
Page 1 of 2

BOX: PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL

Transmitted herewith for filing is a utility patent application of:

Inventors Duncan P. L. BATHE and Frederick J. MONTGOMERY

Title: HIGH CONCENTRATION NO PULSE DELIVERY DEVICE

I. Papers Enclosed Which are Required for Filing Date Under 37 CFR 1.53(b):

14	Pages of Specification	4	Pages of Drawings
3	Pages of Claims	<input type="checkbox"/>	Formal
1	Page of Abstract	<input checked="" type="checkbox"/>	Informal

2. Additional Papers Enclosed:

☒ Declaration/Power of Attorney, duly executed
☐ Other

3. FEE CALCULATION for Regular Application:

	(Col. 1)	(Col. 2)	Other than a Small Entity	
For:	No. Filed	No. Extra	Rate	Fee
BASIC FEE			790.00	790.00
TOTAL CLAIMS	15 - 20	0	22.00	00.00
INDEPENDENT CLAIMS	2- 3	0	82.00	00.00
MULT. DEP. CLAIM PRESENTED		0	270.00	00.00
Please charge our Deposit Account No. 02-2865 in the amount of				790.00

DATEX-DHM.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of
Homuth *et al*
S/N 08/889,911
Filed: 07/10/97
For: AUDIBLE WAVEFORMS

Group: 3735
Examiner: K. Asher

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING
DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION
DISCLOSURE STATEMENT

1. The information disclosure statement submitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

CERTIFICATION OR FEE

2. ☐ Accompanying this transmittal is a certification as specified in 37 CFR 1.97(e).
OR
☒ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$240.00).

METHOD OF PAYMENT OF FEE

DATEX - CHN

3. ☒ Charge Deposit Account No. 02-2865 in the amount of \$240.00
If any additional fees are due, please charge Deposit Account
No. 02-2865. A duplicate of this request is attached.

The BOC Group, Inc.
100 Mountain Ave.
Murray Hill
New Providence, NJ 07974

Respectfully submitted,

Roger M. Rathbun, Regn. No. 24,964
Attorney for Applicants(s)
Tel: (908) 771-6477

Enclosures

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington DC 20231.

Signature of Person Mailing Paper: *J. Marsden*

Print Name of Person Mailing Paper: J. Marsden

Date: June 3, 1998

CLIENTS'S DOCKET NO. 97A225
ASSOCIATES REF. NO. 41942-00380

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Keilbach et al
Serial No. 09/040,558
Filed 03/18/98
For ON-AIRWAY RESPIRATORY GAS MONITOR
EMPLOYING TRANSFORMED INFRARED SIGNALS

Group 3735

Box: Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

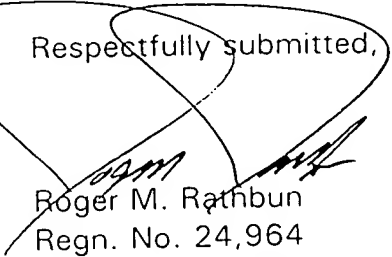
RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the *NOTICE TO FILE MISSING PARTS OF APPLICATION* mailed April 22, 1998 (copy enclosed), Applicants submit the enclosed Declaration executed by them on June 2, 1997. The Commissioner is hereby authorized to charge to Deposit Account 02-2865, the \$130.00 fee required under 37 CFR 1.16(e). *DATEX-CHM*

The Commissioner is requested to grant any necessary extension of time and is authorized by this response to charge any additional fees, if required, to our Deposit Account No. 02-2865. This request is submitted in triplicate.

The BOC Group, Inc.
Patent, Trademark & Licensing Dept.
100 Mountain Ave., Murray Hill
New Providence, New Jersey 07974

Respectfully submitted,


Roger M. Rathbun
Regn. No. 24,964
Attorney for Applicant(s)
Tel: (908) 771-6477
Fax: (908) 771-6159

Attachments:
Original Declaration
Copy of Form PTO-1533

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington DC 20231.

Signature of Person Mailing Paper: *J. Marsden*
Print Name of Person Mailing Paper: J. Marsden

Date: June 4, 1998

EXPRESS MAIL LABEL NO. EE 295 480 971
Mailed June 11, 1998

Docket No. 98A259

PATENT
Page 1 of 2

BOX: PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL

Transmitted herewith for filing is a utility patent application of:

Inventors John P. Huttenhoff, Trent L. Williams,
 Christopher R. Goodrich and Graham B. Lukey,

Title: DE-ESCALATION OF ALARM PRIORITIES IN MEDICAL DEVICES,

1. Papers Enclosed Which are Required for Filing Date Under 37 CFR 1.53(b):

7	Pages of Specification	3	Pages of Drawings
3	Pages of Claims	<input type="checkbox"/>	Formal
1	Page of Abstract	<input checked="" type="checkbox"/>	Informal

2. Additional Papers Enclosed:

☒ Declaration/Power of Attorney, duly executed
☐ Other

3. FEE CALCULATION for Regular Application:

	(Col. 1)	(Col. 2)	Other than a Small Entity	
For:	No. Filed	No. Extra	Rate	Fee
BASIC FEE			790.00	790.00
TOTAL CLAIMS	10 - 20	0	22.00	00.00
INDEPENDENT CLAIMS	4- 3	1	22.00	82.00
MULT. DEP. CLAIM PRESENTED		0	270.00	00.00
Please charge our Deposit Account No. 02-2865 in the amount of				872.00

DATEX-DHM

RECORDATION FORM COVER SHEET PATENTS ONLY

Dkt. 95A422

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ziyi WANG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 5, 1998

2. Name and address of receiving party(ies):

Name: Ohmeda Inc.

Internal Address: _____

Street Address: 110 Allen Road

City: Liberty Corner State: NJ ZIP: 07938

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/040,670 filed 03/18/98

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Roger M. Rathbun, Patent Counsel

Internal Address: The BOC Group, Inc.

Street Address: 100 Mountain Ave., Murray Hill

City: New Providence State: NJ ZIP: 07974

6. Total number of applications and patents involved:

DATEX-OHM

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 02-2865

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Roger M. Rathbun, Regn. No. 24,964

Name of Person Signing

Signature

incl.

Date

Total number of pages comprising cover sheet: 2

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Dkt. 95A294

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ziyi WANG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: June 9, 1998

2. Name and address of receiving party(ies):

Name: Ohmeda Inc.

Internal Address: _____

Street Address: 110 Allen Road

City: Liberty Corner State: NJ ZIP: 07938

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/040,583 filed 03/18/98

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Roger M. Rathbun, Patent Counsel

Internal Address: The BOC Group, Inc.

Street Address: 100 Mountain Ave., Murray Hill

City: New Providence State: NJ ZIP: 07974

6. Total number of applications and patents involved:

DATEX-OHM

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 02-2865

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Roger M. Rathbun, Regn. No. 24,964
Name of Person Signing

Signature incl.

Date

Total number of pages comprising cover sheet: 2

CLIENTS'S DOCKET NO. 95A294
ASSOCIATES REF. NO. 41942-00090

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Ziyi WANG
Serial No. 09/040,583
Filed 03/18/98
For OUTLIER DETECTION IN MONITORING DEVICES

Box: Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

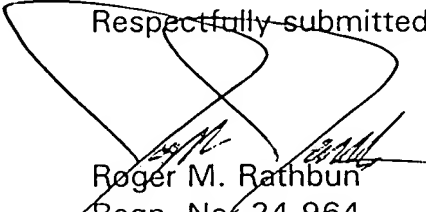
In response to the *NOTICE TO FILE MISSING PARTS OF APPLICATION* mailed May 1, 1998 (copy enclosed), Applicant submits the enclosed Declaration executed by him on June 5, 1998. The Commissioner is hereby authorized to charge to Deposit Account 02-2865, the \$130.00 fee required under 37 CFR 1.16(e).

DATEX-OHM

The Commissioner is requested to grant any necessary extension of time and is authorized by this response to charge any additional fees, if required, to our Deposit Account No. 02-2865. This request is submitted in triplicate.

The BOC Group, Inc.
Patent, Trademark & Licensing Dept.
100 Mountain Ave., Murray Hill
New Providence, New Jersey 07974

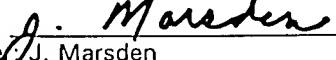
Respectfully submitted,


Roger M. Rathbun
Regn. No. 24,964
Attorney for Applicant(s)
Tel: (908) 771-6477
Fax: (908) 771-6159

Attachments:
Original Declaration
Copy of Form PTO-1533

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington DC 20231.

Signature of Person Mailing Paper: 
Print Name of Person Mailing Paper: J. Marsden

Date: June 11, 1998

RECORDATION FORM COVER SHEET PATENTS ONLY

Dkt. 97A427

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kathleen A. Bergeron Full

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Ohmeda Inc.

Internal Address: _____

Street Address: 110 Allen Road

City: Liberty Corner State: NJ ZIP: 07938

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: June 4, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Provisional Appln. 60/043,250
filed 04/16/97

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Roger M. Rathbun, Patent Counsel

Internal Address: The BOC Group, Inc.

Street Address: 100 Mountain Ave., Murray Hill

City: New Providence State: NJ ZIP: 07974

6. Total number of applications and patents involved:

One

DATEx-OHM

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 02-2865

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Roger M. Rathbun, Regn. No. 24,964

Name of Person Signing

[Signature]
Signature

incl.

Date

Total number of pages comprising cover sheet: 2

PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

Box ISSUE FEE
Assistant Commissioner for Patents
Washington, D.C. 20231

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

21M1/0304

THE BOC GROUP INC
PATENT TRADEMARK AND LICENSING DEPT
100 MOUNTAIN AVE MURRAY HILL
NEW PROVIDENCE NJ 07974

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J. Marsden

(Depositor's name)

J. Marsden
May 28, 1998

(Signature)

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/691,574	08/02/96	007	MCGRAW, V	2505 03/04/98
First Named Applicant BECK, KENT F.				

TITLE OF INVENTION RAMAN GAS ANALYSIS SYSTEM WITH CAVITY/BOSS ASSEMBLY FOR PRECISION OPTICAL ALIGNMENT

DATEX-04M

.836.00

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
3 94A412-1	356-301.000	B27	UTILITY	NO	\$1320.00	06/04/98

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Roger M. Rathbun

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE Ohmeda Inc.

(B) RESIDENCE: (CITY & STATE OR COUNTRY) Liberty Corner, New Jersey

Please check the appropriate assignee category indicated below (will not be printed on the patent)

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